



1646

TRANSMITTAL LETTER			Case No. 10466-138
Serial No. 09/944,896	Filing Date August 31, 2001	Examiner Eileen O'Hara	Group Art Unit 1646
Inventor(s) Kevin P. Baker et al.			
Title of Invention SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME			

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TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal letter (in duplicate), Application Data Sheet, return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x 42=	
+\$140=	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

C. Noel Kaman

C. Noel Kaman  
Registration No. 51,857  
Attorney for Applicant  
Customer No. 28442

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 9/18/03

Date: 18 September 2003 Signature: C. Noel Kaman



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## APPLICATION DATA SHEET

### APPLICATION INFORMATION:

Application Number:	09/944,896
Filing Date:	08/31/2001
Application Type:	Regular
Subject Matter:	
Suggested Classification:	
Suggested Group Art Unit:	1646
CD-ROM or CD-R?	
Title:	Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same
Attorney Docket Number:	10466/138; P2548P1C19
Request for Early Publication:	No
Request for Non-Publication:	No
Suggested Drawing Figure:	N/A
Total Drawings Sheets:	34
Small Entity:	No
Petition Included:	No
Licensed U.S. Govt. Agency	
Secrecy Order in Parent Application:	N/A

### INVENTOR INFORMATION:

Inventor One Given Name:	Kevin
Family Name:	Baker
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City:	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	Darnestown
State or Province of Residence:	MD
Country or Residence:	US
Citizenship Country:	GB

Inventor Two Given Name:	David
Family Name:	Botstein
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	Belmont
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US

Inventor Three Given Name:	Dan
Family Name:	Eaton
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	San Rafael
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US

Inventor Four Given Name:	Napoleone
Family Name:	Ferrara
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	San Francisco
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US

Inventor Five Given Name:	Ellen
Family Name:	Filvaroff
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	San Francisco
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US

Inventor Six Given Name:	Mary
Family Name:	Gerritsen
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	San Mateo
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	CA

Inventor Seven Given Name:	Audrey
Family Name:	Goddard
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	San Francisco
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	CA

Inventor Eight Given Name:	Paul
Family Name:	Godowski
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	Burlingame
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US

Inventor Nine Given Name:	J. Christopher
Family Name:	Grimaldi
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	San Francisco
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US

Inventor Ten Given Name:	Austin
Family Name:	Gurney
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	Belmont
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US

Inventor Eleven Given Name:	Kenneth
Family Name:	Hillan
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	San Francisco
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	GB

Inventor Twelve Given Name:	Ivar
Family Name:	Kljavin
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	Lafayette
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US

Inventor Thirteen Given Name:	Mary
Family Name:	Napier
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	Hillsborough
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US

Inventor Fourteen Given Name:	Margaret Ann
Family Name:	Roy
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	San Francisco
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US
 Inventor Fifteen Given Name:	 Daniel
Family Name:	Tumas
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	Orinda
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US
 Inventor Sixteen Given Name:	 William
Family Name:	Wood
Suffix Name:	
Mailing Address Line One:	1 DNA Drive
Mailing Address Line Two:	
City	South San Francisco
State or Province:	CA
Postal Zip Code:	94080
City of Residence:	Hillsborough
State or Province of Residence:	CA
Country or Residence:	US
Citizenship Country:	US



<b>CORRESPONDENCE INFORMATION:</b>		
Name:		C. Noel Kaman
Street of Mailing Address:		P.O. Box 10395
City of Mailing Address:		Chicago
State or Province of Mailing Address:		Illinois
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E-mail Address:		nkaman@brinkshofer.com
Customer Number:		28442
<b>REPRESENTATIVE INFORMATION:</b>		
Representative Designation:	Registration Number:	Name:
Primary:	36,675	K. Shannon Mrksich
Associate:	51,857	C. Noel Kaman
<b>DOMESTIC PRIORITY INFORMATION</b>		
This application is a		Continuation of
Application No.:		09/866,028
Filing Date:		05/25/2001
which is a:		Continuation
Application No.		09/254,311
Filing Date:		03/03/1999
Which claims priority to		
Application No.:		60/113,296
Filing Date:		12/22/1998
Application No.:		60/069,702
Filing Date:		12/16/1997
<b>FOREIGN APPLICATION INFORMATION</b>		
Foreign Application:		PCT/US98/025108
Filing Date:		12/01/1998
Country:		WIPO
Priority Claimed:		<u>Yes</u> or No (Choose One)



Foreign Application:	PCT/US99/28301
Filing Date:	12/01/1999
Country:	WIPO
Priority Claimed:	<u>Yes</u> or No (Choose One)

**ASSIGNEE INFORMATION:**

Assignee Name:	Genentech, Inc.
Street of Mailing Address:	1 DNA Drive
City of Mailing Address:	South San Francisco
State or Province of Mailing Address:	CA
Country of Mailing Address:	US
Postal or Zip Code of Mailing Address:	94080